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First Time in Human Safety Study of
Streptococcus mutans Lactic
Acid-deficient Effector Strain (A2JM)
Administered in Conjunction with Twice
Daily Dose of D-alanine Mouthwash in
Healthy Adult Male Subjects for
Replacement Therapy as an Aid in the
Protection Against Dental Caries

Protocol #0311-614



Program Team

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❖ Corporate Sponsor: Oragenics, Inc.



Significance

- ❖ Dental caries is the most common chronic disease (5 billion people worldwide)
- ❖ Approximately \$40 billion was spent in the U.S. in 2003 on dental caries (5% of total health care costs)
- ❖ An increasing body of evidence has associated oral infections with systemic diseases, such as cardiovascular disease



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Background

- ❖ An infectious disease, principally caused by the indigenous plaque bacterium *S. mutans*
- ❖ Dietary sugar is metabolized by *S. mutans* to produce lactic acid, which dissolves the mineral portion of tooth enamel and dentine
- ❖ Current methods for prevention aim at reducing the levels of *S. mutans* or making the teeth more resistant to acid attack
 - All require patient compliance

Hypothesis

- ❖ The presence of a particular microorganism can prevent colonization by a pathogen or keep its numbers below the level required for it to manifest disease.
- ❖ This hypothesis forms the basis for an approach to prevent infections called *replacement therapy*.



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Replacement Therapy

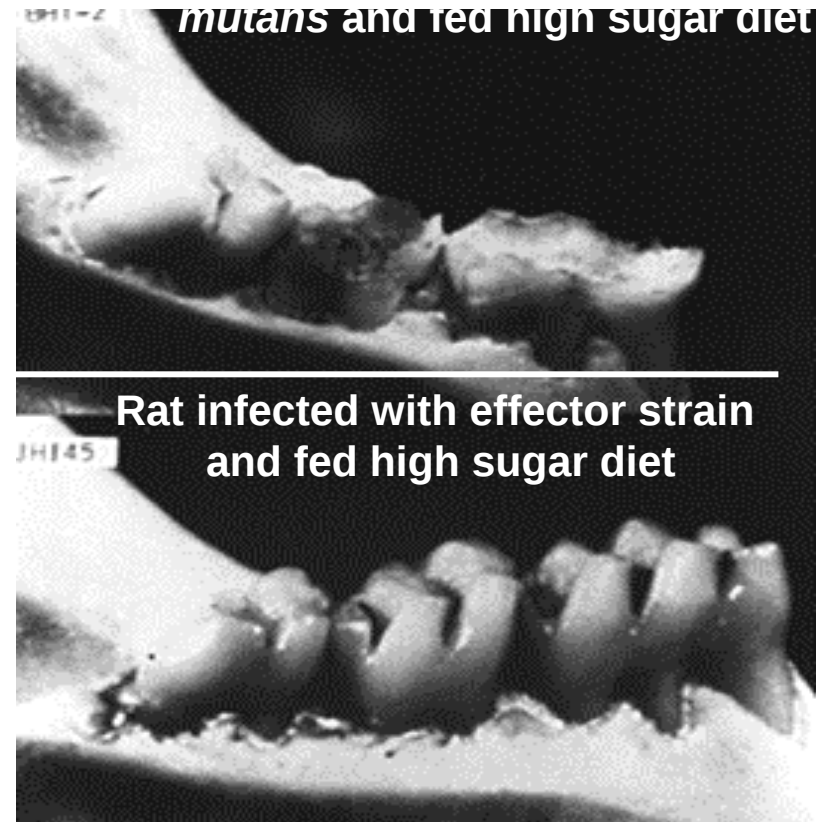
- ❖ Depends on finding or creating an *effector strain* that has 3 essential properties:
 1. It does not cause disease itself
 2. It can persistently colonize the tissue at risk and outcompete disease-causing strains
 3. It is genetically stable



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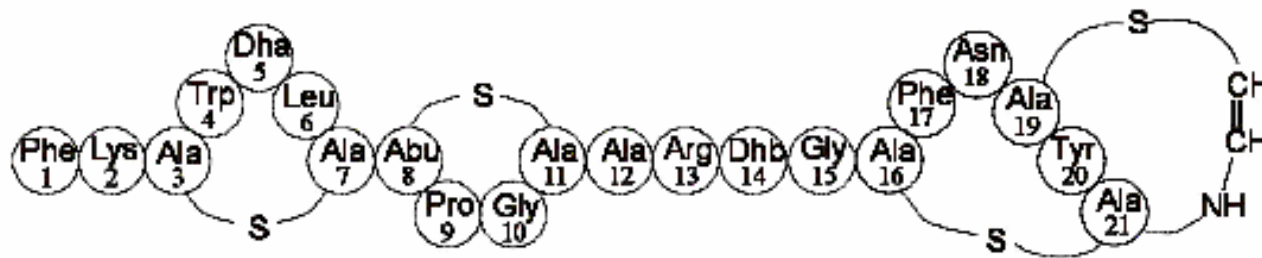
The A2JM Effector Strain: Pathogenic Potential

- ❖ The gene for lactate dehydrogenase (*ldh*) was deleted
- ❖ Deletion of *ldh* killed the bacteria; compensate for this by adding a supplemental gene for alcohol dehydrogenase (*adhB*)



The A2JM Effector Strain: Colonization Potential

- ❖ Perform strain construction starting with a human *S. mutans* isolate that naturally produces a bacteriocin capable of killing all other *S. mutans* strains



The A2JM Effector Strain: Genetic Stability

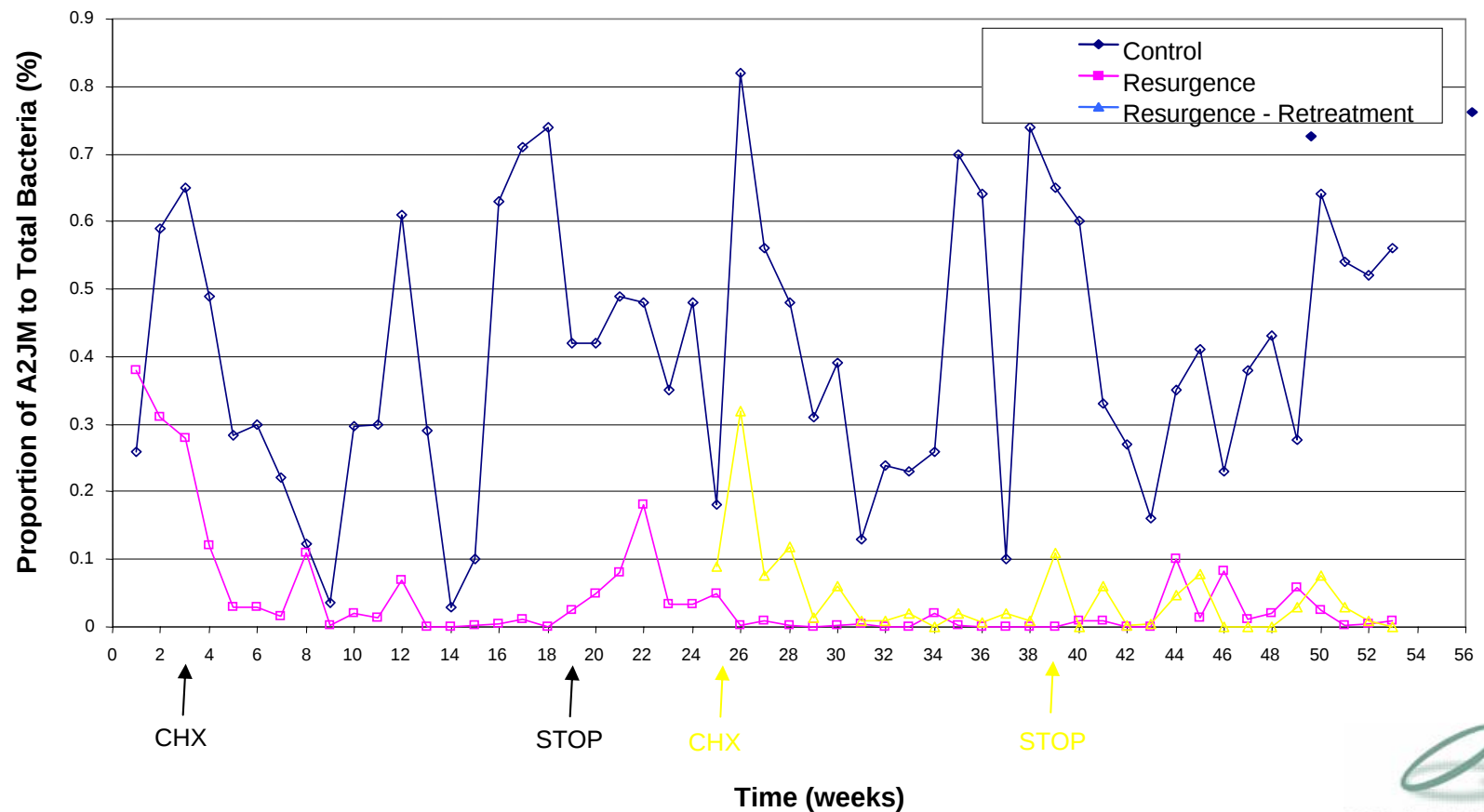
- ❖ LDH deficiency due to a deletion mutation
- ❖ Genetic transformation occurs at very low frequencies due to:
 - A naturally occurring insertion mutation in the competence gene, *comC*, which reduces transformation frequency
 - An engineered deletion mutation in another competence gene
- ❖ No prophage or known transducing phage

Horizontal Transmission

- ❖ Transmission is typically vertical (mother to child)
- ❖ The minimal infectious dose for A2JM is 1000-fold higher than for its *ldh*⁺ parent
- ❖ Adult male volunteers colonized with mutacin 1140-producing *S. mutans* did not transmit this strain to spouses or children over a 15-year period

Additional Safeguard

- ❖ A2JM is dependent on environmental D-alanine due to a deletion mutation in alanine racemase (*dal*)



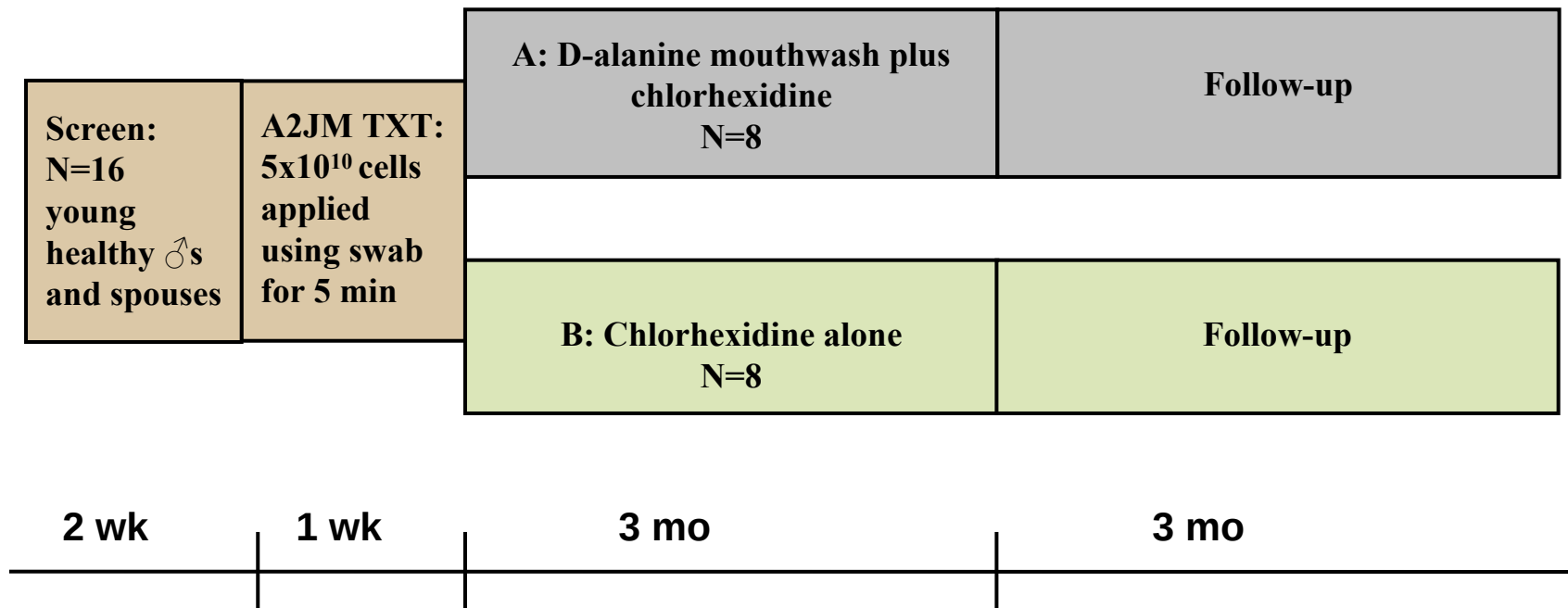
Laboratory and Animal Testing of A2JM

- ❖ It did not cause significant tooth decay in rats
- ❖ It persistently colonized the teeth of rats
- ❖ It displaced other *S. mutans* strains
- ❖ It was genetically stable in the laboratory and in animals
- ❖ It showed no toxicity in acute and chronic (1 year) tests
- ❖ It did not disrupt the normal oral flora



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Study Design Scheme



Objectives

❖ Primary Objective

- To assess safety and tolerability of A2JM and D-alanine

❖ Secondary Objectives

- To estimate stability of A2JM genetic profile
- To estimate A2JM transfer to partners
- To determine if A2JM can be eradicated or reduced in numbers below its minimal pathogenic dose



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Inclusion Criteria

- ❖ Subjects must be male between 21 and 35 years old
- ❖ Subject must be in a stable, monogamous relationship
- ❖ Both partners must be healthy
- ❖ No less than 20 natural, minimally restored teeth
- ❖ Partner must remain non-pregnant throughout the trial
- ❖ Both subject and partner must have an indigenous mutans streptococcus strain



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Exclusion Criteria

- ❖ Abnormal baseline physiological findings
- ❖ Rheumatic fever, valvular heart disease, SBE
- ❖ Oral abnormalities that compromise gingival integrity
- ❖ Significant chronic clinical illness (e.g., hepatitis, HIV)
- ❖ Children living in the same household
- ❖ Employed as food handlers, day care or healthcare provider



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Outcome Measures

- ❖ Measure frequency and nature of adverse events
- ❖ Collect saliva samples from subjects and spouses
 - Determine genetic stability
 - Determine occurrence of horizontal transmission



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Advantages of Replacement Therapy

- ❖ Lifelong protection from a single treatment
- ❖ No patient compliance required



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Plaque is a Complex Biofilm

